

INCIDENCE OF HYPOXAEMIA IN THE RECOVERY ROOM FOLLOWING UPPER ABDOMINAL SURGERY

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ABSTRACT

Objective: To determine hypoxaemic episodes of those patients who underwent upper abdominal surgery (UAS).

Material and Methods: Hundred postoperative adult patients were observed during their stay in the recovery room. They were continuously monitored for peripheral arterial oxygen saturation (SpO_2) by pulse oxymeter (bpm-200). The alarms were preset at $SpO_2 \leq 90\%$. These patients also received supplemental O_2 therapy (40%) by fixed performance (Hudson's) mask. All these patients had $SpO_2 \geq 97\%$ before transfer to the recovery room. The number and frequency of un-noticed hypoxaemic events were noted.

Results: This study revealed that the overall incidence of hypoxaemia in these patients was 42%. On arrival in the recovery room 28% patients had $SpO_2 \leq 90\%$. About 106 hypoxaemic episodes occurred during their stay in the recovery room. 10% had up to one episode and 32% had >1 episodes 4% of the patients were discharged with $SpO_2 \leq 90\%$. Statistical analysis reveal that UAS with subjective factors such as obesity and smoking had more hypoxaemic incidences ($P < 0.05$).

Conclusion: Our Study concludes that hypoxaemic events are common following upper abdominal surgery even in patients otherwise considered healthy. Hence continuous pulse oximetry should be employed routinely during recovery, as it remains undetected without its use.

Key words: Upper Abdominal Surgery, Pulse Oxymeter, Postoperative hypoxaemia, Oxygen therapy.

INTRODUCTION

Hypoxaemia refer specially to decrease levels of O₂ in the arterial blood¹. The severity of hypoxaemia can be categorized according to the following guidelines,

- a. Mild hypoxaemia is defined as paO₂ between 60-80 mmHg.
- b. Moderate hypoxaemia is defined as paO₂ between 40-60 mmHg.
- c. Severe hypoxaemia is present when paO₂ falls below 40mmHg

Hypoxaemia may result from low FiO₂, hypoventilation, V/Q imbalance or increase O₂ consumption produced by restlessness or shivering². Mild to moderate hypoxaemia (PaO₂ 50-60mmHG) in young healthy patients may be well tolerated initially, but with increasing duration and severity, the initial sympathetic stimulation often seen is replaced with progressive acidosis and circulatory depression³. If left untreated it may lead to significant cerebral impairment, cardiac arrest, and multiple organ dysfunctions and ultimately death^{4,5}. The clinical sign and symptoms of hypoxia are non-specific, and may include restlessness, agitation, tachycardia confusion, and disorientation. Hypoxaemia is a common complication, well known to occur in the perioperative period⁶. It can occur during induction, maintenance of anaesthesia, during transfer to the recovery room and post anaesthesia care unit (PACU), it can also occur in the postoperative period upto a period of one week⁷. Hypoxaemia is a major contribution factor in adverse anaesthesia outcome.

Upper abdominal surgery (UAS) is associated with significant impairment of respiratory mechanics, oxygenation, ventilation and pulmonary defense mechanism, independent of general anaesthesia⁸. Joris, Kaba and Lamy describe that the primary

determinant of postoperative pulmonary dysfunction was surgical site⁹. Changes in the lungs function are typically restrictive-rapid and shallow breathing, reduced forced vital capacity (FVC) with consequent hypoxaemia. A shift from abdominal to rib cage breathing has been attributed to loss of diaphragmatic contribution to tidal volume. Since respiratory problems are common in the initial postoperative period pulse oximetry monitoring has been accepted universally as a standard of care to alert personnel in the recovery room to impending hypoxaemia. Pulse oximetry has been recommended as a mandatory monitoring device by the American Society of Anaesthesiologists¹⁰. Considering that the most common cause of anaesthesia related preventable deaths is hypoxia, the routine use of pulse oximetry proves to be of significant benefit. A.B.G (arterial blood gases) measurement should be performed to confirm diagnosis and guide therapy.

MATERIAL AND METHODS

This study was carried out in the Department of Anaesthesiology Khyber Teaching hospital Peshawar.

A total of 100 patients, who underwent elective upper abdominal surgery (UAS) were studied for hypoxaemic episodes during their stay in the recovery room. This non invasive observational study was performed in the immediate postoperative recovery period using continuous pulse oximetry. Institutional guidelines for anaesthetic and postanaesthetic care did not change during the study.

The study was conducted over a period of three (3) months. At the beginning of each day, a prior selection of patient, who fulfilled the inclusion criteria, was made from routine surgical lists. The recovery room staff was informed to receive such patients on a separate recovery room bed, prepared and

**DEMOGRAPHIC DATA OF
100 POSTOPERATIVE PATIENTS**

| Demographics | Females | Males |
|-------------------|----------|----------|
| No. of patient | 78 (78%) | 22 (22%) |
| Mean age (years) | 43.92 | 37.72 |
| Age Range (years) | 22-70 | 16-68 |
| ASA-PS I | 54 | 12 |
| ASA-PS II | 24 | 10 |

TABLE - I

allotted for the study. Exclusion criteria include ASA III and above, emergency surgery and those patients who require postoperative mechanical ventilation.

All such patients, who underwent general anaesthesia (GA) for UAS, lasted for a minimum of 30 mts duration. Before being shifted to the recovery room, they were extubated, spontaneously breathing, having received 100% O₂ by mask and with stable vital signs. Their SpO₂ level were $\geq 97\%$. It took less than 5mts in their shifting and transport to the recovery room, during which time oxygen was not administered. The observer would already be present in the recovery room and immediate continuous pulse oximetry monitoring started upon their arrival, till their discharge. The alarms were preset at SpO₂ $\leq 90\%$. The sensor of the pulse oximeter was applied to the index finger of the hand opposite to the arterial pressure cuff. Supplemental O₂ (40%) was administered by mask. The patients SpO₂, pulse rate, blood pressure were recorded. Other parameters as posture, airway patency checked and recorded. On a proforma, the patient's ASA physical status, age, weight, smoking habit, duration of surgery, type of surgery and incision sites etc. were noted.

In this study, oxyhemoglobin saturation (SpO₂) $< 90\%$ was defined as mild hypoxaemia, $\leq 85\%$ as moderate hypoxaemia, and $\leq 80\%$ as severe hypoxaemia. At discharge, if SpO₂ was $\leq 90\%$, the recovery room staffs were

notified and supplementary O₂ was advised in the ward.

RESULTS

A total of 100 postoperative patients who underwent elective UAS were surveyed over a 3 months period. Table 1 presents the demographic details of the study group. It included 78 females and 22 males, with more older and a higher number of ASA-PS class I patients in the female group.

During their stay in the recovery room, 42 patients showed one or more hypoxaemic episodes (SpO₂ $\leq 90\%$) 28 patients had hypoxaemia at the time of admission to recovery room, 14 patients developed it during their stay in the recovery room while 4 patients were discharged with SpO₂ $\leq 90\%$ as shown in Table 2 and Fig 1.

A total number of 106 desaturation episodes occurred in these 42 hypoxaemic patients. Table 3 shows the hypoxaemic episodes at different saturation levels and depicts the gradual increase in severity of hypoxaemia. Mild hypoxaemia (SpO₂ 86-90%) occurred in 28% of patients, moderate hypoxaemia (SpO₂ 81-85%) in 26%, while 12% of patients were observed to have severe hypoxaemia (SpO₂ 76-80%). However, extreme degree (SpO₂ $\leq 75\%$) of desaturation also occurred in 14 patients (Fig 2).

**HYPOXAEMIA AMONG PATIENTS
IN THE RECOVERY ROOM**

| Incidence of hypoxaemia SpO ₂ $\leq 90\%$ | No. of patients | % of patients |
|--|-----------------|---------------|
| Overall incidence | 42 | 42% |
| At admission | 28 | 28% |
| During stay | 14 | 14% |
| On discharge | 04 | 04% |

TABLE - 2

HYPOXAEMIA AMONG PATIENTS IN THE RECOVERY ROOM

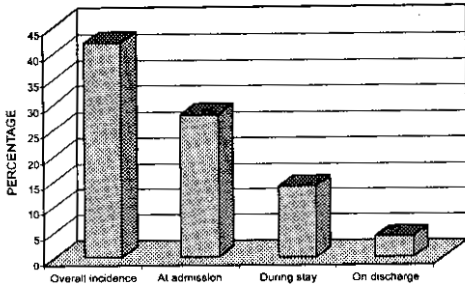


Fig. 1

The relationship between incidence of hypoxaemia and patient related factors were also noted (Table 4). These were analyzed and p value was calculated. Chi-Square (χ^2) test was used for the test of significance. The number of degrees of freedom was determined. By applying statistics, it was observed that there were no significant differences for any of the patient's variables measured with the exception of obesity and smoking ($P < 0.05$).

The impact of incidence of hypoxaemia relative to the duration of surgical procedure was found to be significant ($P < 0.05$), as shown in Table 5. In patients with surgical duration ≥ 91 min. no significant difference was obtained. This was because of the small number of patients in that group.

PATIENTS WITH HYPOXAEMIC EPISODES AT DIFFERENT SATURATION LEVELS

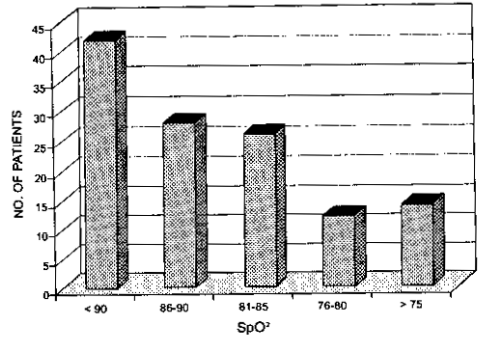


Fig. 2

DISCUSSION

In the existing pool of knowledge, postoperative. Hypoxaemia is well anticipated, the risk factors discovered and oxygen therapy known to be effective in its treatment. Our study was designed to find out how common is the occurrence of postoperative hypoxaemia in our study group who are particularly prone to develop it? To what degree of severity it occurs inspite of supplemental O₂ therapy in the immediate postoperative period? Pulse oximetry shows its worth in such patients and also delineates those at risk patients from the common pool, who may need O₂ therapy

PATIENTS WITH HYPOXAEMIC EPISODES AT DIFFERENT SATURATION LEVELS

| SpO ₂ (%) | Degree of severity | No. of episodes | No. of patients | % of patients | Episodes per Patient | |
|----------------------|--------------------|-----------------|-----------------|---------------|----------------------|-------|
| | | | | | Mean | Range |
| ≤ 90 | | 106 | 42 | 42% | 2.52 | 1-5 |
| 86-90 | Mild | 34 | 28 | 28% | 1.21 | 1-2 |
| 81-85 | Moderate | 30 | 26 | 26% | 1.15 | 1-2 |
| 76-80 | Severe | 14 | 12 | 12% | 1.16 | 1-2 |
| ≤ 75 | Extreme | 14 | 14 | 14% | 1.0 | 1-3 |

TABLE - 3

**PATIENTS RELATED FACTORS AND INCIDENCE OF POST OPERATIVE
HYPOXAEMIA IN THE RECOVERY ROOM**

| S. No. | Patient Factors | No. of Patients | | No. of Patients with SpO ₂ < 90% | | P value | Result |
|--------|-----------------|-----------------|-----|---|--------|---------|--------|
| | | n = 100 | % | n = 42 | % | | |
| 1. | Hypertension | 18 | 18% | 10 | 24% | P>0.05 | NS |
| 2. | Diabetes | 6 | 6% | 2 | 4.80% | P>0.05 | NS |
| 3. | Obesity | 10 | 10% | 10 | 24% | P<0.05 | S |
| 4. | Smoking | 4 | 4% | 4 | 9.50% | P<0.05 | S |
| 5. | ASA-PS | | | | | | |
| | Class I | 64 | 64% | 18 | 42.80% | P>0.05 | NS |
| | Class II | 36 | 36% | 24 | 57% | P>0.05 | NS |
| 6. | Age | | | | | | |
| | < 60 years | 72 | 72% | 28 | 66.70% | P>0.05 | NS |
| | > 60 years | 28 | 28% | 14 | 33.30% | P>0.05 | NS |
| 7. | Gender | | | | | | |
| | Male | 22 | 22% | 8 | 19% | P>0.05 | NS |
| | Female | 78 | 78% | 34 | 81% | P>0.05 | NS |

TABLE - 4

Note:

S = Significant.

NS = Non Significant.

in the extended postoperative period. Pulse oximetry is not only the first warning sign against hypoxaemia and its severity, but also assesses the adequacy of O₂ therapy.

Mihm and Halperin¹¹ made comparison between pulse oximeter reading (SaO₂) and arterial blood hemoglobin O₂ saturation (PaO₂) and found excellent correlation between the two. Their study demonstrating reliability of pulse oximetry to detect clinically significant arterial desaturation.

The present study was conducted to determine the incidence of early postoperative hypoxaemia in the recovery room, in a group of patients particularly prone to develop it (i.e. following UAS) by using the

reliable device of continuous pulse oximetry. The results revealed its common occurrence (42%) with varying degrees of severity ranging from mild (SpO₂ 86-90% = 28%) moderate (SpO₂ 81-85% = 26%) to severe (SpO₂ 76-80% = 12%) and extreme (SpO₂ ≤75% = 14%) in spite of prophylactic oxygen therapy given. Contributing pre-operative and intraoperative risk factors were sought, a high incidence (P<0.05) was found in smokers and in obese.

On admission to the recovery room, 28% of our patients were found hypoxaemic (SaO₂ ≤ 90%) closely relating to the figure of 32% given by Moller et al¹², 35% by Tyler et al¹³ and 21% by Hudes et al¹⁴. A much lower figure of 15% was given by Russel and Graybeal¹⁵. These differences could be

**DURATION OF SURGERY IN COMPARISON WITH THE INCIDENCE OF
HYPOXAEMIA IN THE RECOVERY ROOM**

| Duration of surgery (min) | No. of Patients | | No. of Patients with SpO ₂ < 90% | | P value | Results |
|---------------------------|-----------------|-----|---|-------|---------|---------|
| | n = 100 | % | n = 42 | % | | |
| <60 | 74 | 74% | 22 | 52% | P<0.05 | S |
| 61-90 | 14 | 14% | 12 | 28.6% | P<0.05 | S |
| ≥90 | 12 | 12% | 8 | 19% | P>0.05 | NS |

TABLE - 5

Note:

- S = Significant.
NS = Non Significant.

explained on the basis of differences in patient selection and type of surgery.

Daley et al¹⁶ found 41% of their patients with hypoxaemia $\leq 90\%$ by continuous pulse oximetry occurring 28 ± 18 mts after discontinuation of O₂ therapy, whereas in our study 42% incidence was found inspite of O₂ therapy. Desaturations in our group was primarily secondary to causes refractory to routine O₂ administration, such as development of shunts after UAS.

Russel and Graybeal gives a more specific overall incidence of 25% (range 72-91%) in 100 postop patients inspite of 40% O₂ administration by face mask, on 68 occasions. The relatively low incidence is due to their exclusion of a large number of invalid readings, as only 68 true desaturations were taken out of a total 472 detected desaturations. Some of the numbers removed (404 episodes) could have been true episodes? They correlated it positively with abdominal/thoracic surgery, longer duration of surgery and high intraoperative fluid administration.

Mckenzie¹⁷ estimated incidence of perioperative hypoxaemia (extending upto day 6) detected by intermittent pulse oximetry in 100 patients with abdominal surgery (Upper + lower, Elective + emergency) and found 29 patients had SpO₂

$\leq 90\%$ on a total of 51 occasions. This figure is relatively low compared to ours, the difference of 13% can be explained on selection of patients and intermittent recording of SpO₂. However 46 out of his 100 patients had UAS, in whom 18 patients developed hypoxaemia. This figure of 39.13% incidence closely approaches our findings of 42%.

Brown et al¹⁸ gave an exceptional high incidence of 80% in 107 of his randomly selected surgical patients, which can be explained on extended monitoring time of 60 mts and O₂ given intermittently. But when continuous O₂ was administered to 39 of these patients, 25 patients (64%) experienced hypoxaemia as opposed to 80% for the whole study group.

Previous studies found that early post-operative hypoxaemia (SpO₂ $\leq 90\%$) occurred in 35% - 70.8% of patients undergoing elective surgery under GA, of which 12% - 34.4% experienced severe hypoxaemia (SpO₂ $\leq 85\%$).

The degree of severity of hypoxaemia in our study group approximates that of Moller et al¹² and Xue Fu S et al¹⁹. Moller et al showed an incidence of 55% for mild hypoxaemia ($\leq 90\%$) 28% for moderate ($\leq 85\%$) and 13% for severe hypoxaemia ($\leq 80\%$). Xue Fu S et al showed incidence of hypoxaemia

(86 - 90%) and severe hypoxaemia ($\leq 85\%$) after UAS to be 38% and 3% in the early postoperative period.

Sia et al²⁰ noted in his study group who received supplemental O₂ and SpO₂ monitoring done for 60 mts, 36% incidence for mild 13% for moderate and none for severe.

Brown et al gives a higher incidence amongst all these degrees as 80% for mild, 45% for moderate and 26% for severe hypoxaemia. O₂ administration in his study group was intermittent¹⁸.

Recovery rate of SpO₂ was faster during the early postoperative period, hypoxaemic events becoming shorter and fewer as recovery time increased. In our study incidence dropped from 42% to 4% at discharge, as was in Brown et al study where it dropped from 64% to 18% at the end of 1 hour in the Recovery room¹⁸. Moller et al¹² reported 22% and Fromme et al²¹ 27% during transportation from the recovery room.

Our study delineates obesity, smoking and duration of surgery as contributing risk factors predisposing the patients to postoperative hypoxaemia ($P < 0.05$). The obese patient is likely to experience postoperative complications as a result of restrictive lung component associated with obesity²². Rose et al²³ noting adverse respiratory events in PACU in obese men with a total weight greater than 120 kg and women greater than 100 kg.

The longer the time (even as little as 60 mts) the patient is subjected to the influences of surgery and anaesthesia, the greater the chance of postoperative respiratory impairment including hypoxaemia.

CONCLUSION

Postop. monitoring is inadequate in many of our institutes, rather an institutional

failure of the practice of "Standards of Monitoring". There is no protocol in most of our hospitals for postop. Monitoring. Their need still based on clinical judgement. We are still not adequately preventing its occurrence. Monitoring by eye does not recognize hypoxaemia and so we do not treat it, despite our theoretical knowledge of its common occurrence. Once oximetry is available in the recovery room, it can be used in the same way as thermometers, sphygmomanometers etc to aid monitoring by the nursing staff of one of the fundamental necessities of life - adequate oxygen transport by the blood. Thus we conclude that,

- * Hypoxaemia, being a potential hazard after GA despite supplemental O₂ therapy, is more severe especially after UAS (Upper Abdominal Surgery).
- * Identifying hypoxaemic events is of vital importance, as they can be corrected by suitable intervention in time, and decrease morbidity.
- * Pulse oximetry aid recognition of hypoxaemia, assess adequacy of treatment and help determine the extent and duration of O₂ therapy required.

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